

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISS/IONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/603,416	06/24/2003	Thomas A. Maufer	NVDA P000802	3444
26291 7590 05/04/2009 PATTERSON & SHERIDAN L.L.P. NJ Office 3040 Oak Post Road Suite 1500			EXAMINER	
			JACKSON, JENISE E	
Houston, TX 7	7056-6582		ART UNIT	PAPER NUMBER
	,			
			MAIL DATE	DELIVERY MODE
			05/04/2009	PAPER

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

	Application No.	Applicant(s)	
10/603,416		MAUFER ET AL.	
	Examiner	Art Unit	
	JENISE E. JACKSON	2439	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --THE REPLY FILED 20 April 2009 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. 1. 🔀 The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods: The period for reply expires <u>3</u> months from the mailing date of the final rejection. a) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). Extensions of time may be obtained under 37 CFR 1,136(a). The date on which the petition under 37 CFR 1,136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL 2. The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a). **AMENDMENTS** 3. 🔲 The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below): (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims. NOTE: . (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). 5. Applicant's reply has overcome the following rejection(s): 6. Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). 7. 🔲 For purposes of appeal, the proposed amendment(s): a) 🔲 will not be entered, or b) 🔲 will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. The status of the claim(s) is (or will be) as follows: Claim(s) allowed: \_ Claim(s) objected to: \_\_\_ Claim(s) rejected: \_\_ Claim(s) withdrawn from consideration: AFFIDAVIT OR OTHER EVIDENCE 8. 🔲 The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will <u>not</u> be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e). 9. 🔲 The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1). 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER 11. X The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet. 12. Note the attached Information *Disclosure Statement*(s). (PTO/SB/08) Paper No(s). 13. ☐ Other: . /Kambiz Zand/ Supervisory Patent Examiner, Art Unit 2434

Continuation of 11. does NOT place the application in condition for allowance because: The Applicant states that Goldberg does not disclose determining that the MAC destination address of a packet is included in the address resolution table. The Examiner disagrees with the Applicant. Goldberg discloses performed for protocols other than TCP. If the session is a non-TCP session (e.g., UDP, ARP, ICMP, etc.). Therefore, Goldberg discloses the session can be an ARP(address resolution protocol). The processing performed comprises tracking the connection state and checking whether the session behavior is legal for the particular protocol, which is the ARP. The packet may be rejected or allowed wherein processing continues with waiting for the static filtering check to complete. The static filter check may reject the packet or may allow the packet whereby the process waits for the content machine to complete searching the payload and waits for fne checksum verification to complete. If the checksum verification passed and a valid string was found, the parameters of the particular protocol are updated and stored in the session database. The session pointers are then updated and the packet allowed[0067].

The Applicant states that Goldberg does not disclose obtaining an address resolution table (ART) index associated with the MAC destination address from the entry in the address resolution table and storing the ART index and packet information in a data structure associated with a state table. Goldberg discloses the hash result generated is then used as described above to retrieve a hash pointer from the hash table 60 (FIG. 2) using the hash results as the index. If the hash pointer points to NULL (step 150), the next hole pointer is read from the hole linked list. If the hash pointer does not point to NULL, the partial socket information is read from the entry in the session database corresponding to the hash pointer[0095].

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JENISE E. JACKSON whose telephone number is (571)272-3791. The examiner can normally be reached on Increased Flex time, but generally in the office M-Fri(8-4:30)..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kambiz Zand can be reached on (571) 272-3811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J E. J/ May 1, 2009 Examiner, Art Unit 2439